

**Report to Accounts, Audit & Governance  
Committee**

4<sup>th</sup> January 2016

By the Director of Corporate Resources

**INFORMATION REPORT**



**Horsham  
District  
Council**

Not Exempt

**Risk Management ~ Quarterly Report**

**Executive Summary**

This report includes an update on the Corporate Risk Register for consideration and provides an update on progress with the quarterly departmental risk register reviews.

**Recommendations**

That the Committee is recommended to:

- i) Note the contents of this report.

**Reasons for Recommendations**

As part of good governance, it is important that this document is considered by Members.

**Background Papers**

Covalent Performance Management System / Departmental Risk Registers

**Wards affected:** All

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## Background Information

### 1 Introduction and Background

- 1.1 The Accounts, Audit and Governance Committee is charged with responsibility for monitoring the effectiveness of the Council's risk management arrangements.
- 1.2 The report provides details of key changes to the Council's Corporate Risk Register, and an update on progress regarding the departmental risk registers (see 3.1 and 3.2 below).

### 2 Relevant Council Policy

- 2.1 The Council's Risk Management Policy is detailed in the Council's Risk Management Toolkit.

The Council's Risk Management Strategy (approved by the Council's Senior Leadership Team on 7<sup>th</sup> December 2015) is a component part of the Policy, and this document sets out to achieve the following objectives:

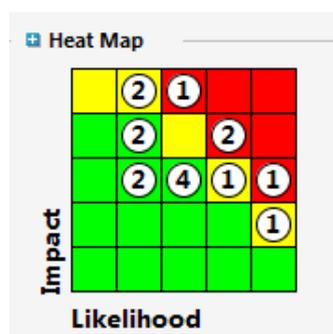
- Fully integrate risk management into the culture of the Council and its strategic and service planning processes;
- Ensure that the risk management framework is understood and that ownership and accountability for managing risks is clearly assigned;
- Ensure the benefits of risk management are realised through maximising opportunities and minimising threats;
- Ensure consistency throughout the Council in the management of risk.

### 3 Details

- 3.1 Corporate Risk Register

The Senior Leadership Team has reviewed the Corporate Risk Register and comments have been updated to reflect the current position for each risk (see Appendix 1).

The Corporate Risk profile is shown in the following heat map which shows the total number of risks in each segment. The red / amber / green zones are in accordance with the Council's risk appetite.



There are four risks which are currently considered to be high, four medium risks and eight low risks. The high risk areas relate to the following:

CRR01b	Uncertainty about the Council's Financial position beyond 2020.
CRR14	CIL (Community Infrastructure Levy) and Section 106 Agreements: Failure to deliver the infrastructure needs of the District.
CRR17	Qualification of the Housing Benefit Subsidy Return.
CRR19	Future uncertainty in the UK economy following the Brexit vote.

Please see the risk register in Appendix 1 which provides full details of all risks on the "live" register together with details of the control actions and responsible officers.

Since the last report, the new Finance system has been added (see CRR20) which is due to be implemented in August 2017.

Risks CRR08 and Risk CRR13 have now been mitigated and the Senior Leadership Team has confirmed that these should be removed.

### 3.2 Departmental Risk Registers

All departmental risk registers have been reviewed and updated.

## 4 Outcome of Consultations

4.1 Officers who are responsible for control actions and the Senior Leadership Team have been consulted in updating the Corporate Risk Register.

## 5 Other Courses of Action Considered but Rejected

5.1 Not applicable.

## 6 Financial Consequences

6.1 There are no financial consequences.

## 7 Legal Consequences

7.1 There are no legal consequences.

## 8 Staffing Consequences

8.1 There are no staffing consequences.

## **9 Risk Assessment**

- 9.1 The report provides an update on the Council's corporate risks and how these are being managed by the Senior Leadership Team. See Appendix 1 for the latest version of the Council's Corporate Risk Register.

## **10 Other Considerations**

- 10.1 Risk management encompasses all risks within the organisation, including strategic, operational, and project/change risks. This includes consideration of Crime & Disorder; Human Rights; Equality & Diversity; and Sustainability as appropriate.